

CONFLICT OF INTEREST (COI) ASSESSMENTS BASED ON INTEREST TYPE

The following table outlines the most common COI determinations based on the disclosed interest type and amount. COI determinations may or may not result implementation of a conflict management plan. Final determinations are made on a case-by-case basis between the Conflict of Interest Officer and the disclosing individual.

<u>Disclosed Interest</u>	<u>Description</u>	<u>Typical COI Outcome</u>
<u>Income</u>		
< \$5000	No significant financial interest has been declared	no COI
≥ \$5,000	Compensation for advising (e.g., honoraria, advisory board, consulting payments)	potential COI*
<u>Travel</u>		
> \$0	For professional societies, service on advisory boards, or direct payment through Harvard sponsored research award	no COI
<u>Intellectual Property</u>		
\$0	Patent application with no licensing agreement	no COI
< \$5,000	Royalties for books or other authorship	no COI
≥ \$5,000	Royalties for books or other authorship	potential COI*
≥ \$5,000	Royalties for inventions handled through Harvard's Office of Technology Development (OTD) when IP is not related to existing sponsored research	no COI
≥ \$0	Licensing/royalties for IP used by but not evaluated in sponsored research	no COI
≥ \$0	Licensing/royalties for IP evaluated or enhanced by sponsored research	potential COI*
<u>Equity</u>		
< \$5,000	Publicly-traded or privately-held entity	no COI
≥ \$5,000	Publicly-traded or privately-held entity	potential COI*
<u>Equity + Intellectual Property</u>		
≥ \$0	Privately-held entity + use/evaluation/enhancement of IP owned or licensed by entity in sponsored research [may or may not include board service such as the founder of start-up company]	potential COI*

***Perform the Relatedness Assessment below for COI determination**

1. Does the research involve the same entity either as a sponsor or as manufacturer of material used in the study?
2. Does the research involve the same technology?
 - a. If the technology is only a tool in the research, which is not being studied and does not represent a major part of the company or research, then the technology is not related.
 - b. Even if the technology is a tool, does it represent a major part of the company?
 - c. Even if the technology is a tool, does it represent a major part of the research?