Harvard Global Research & Support Services, Inc.

Grant Administration Services & Sponsor Overview
AGENDA

- Harvard Global ("HG") Grant Administration Overview
  - Who is Harvard Global and why do I have to use them?
  - Existing HG sponsors and key considerations
  - Proposal submission process
Harvard Global (“HG”) is a Massachusetts nonprofit corporation, 501(c)3, committed to providing operational support for Harvard’s international activities so that scholars and students can focus on research and programs.

HG services include grant administration for awards managed in the US and in support of certain overseas activities (e.g., through Harvard Global India).
HARVARD GLOBAL OVERVIEW

Why and when are awards routed through HG?

For some awards, the University may not be able to accept the terms of a particular grant (e.g. indemnification) due to the potential liabilities posed, yet there is a compelling reason to move forward with the research.

Upon review by HG and University leadership, a decision may be made to route such awards through HG as the direct awardee.

HG serves as the direct awardee, but the subject activity is performed by Harvard researchers using University resources, under contract to HG by way of intercompany agreements.
HG AWARDS

Key Considerations

• Proposal review/submission, award negotiation and setup, post award management and research finance are managed by OSP in collaboration with the School, HG, Dept, and PI.

• Projects remain subject to University and School policies applicable to sponsored research (e.g. PI effort, low-IDC, IP, IRB, OAIR).

• HG requires a School-level Services Agreement and project-specific Secondment Agreement stipulating associated obligations/costs.

• HG retains 26% of the indirect cost of the grant to cover its services, calculated on the basis of an indirect cost rate of at least 20%.
HARVARD GLOBAL OVERVIEW

If you see the following keywords, reach out to HG immediately:

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HG should be consulted as early as possible in the grant process to ensure sponsor approval and use of appropriate institutional information (e.g. HG’s PIC number).
EXISTING HARVARD GLOBAL SPONSORS

European Commission/European Union frameworks:

Horizon Europe, European Research Council (ERC);

Note: Marie Skłodowska-Curie Individual Fellowships are accepted by Harvard University and do not require submission/award through HG.

Foreign, Commonwealth & Development Office (FCDO, formerly DFID):

Common pass-through entities:

International Growth Centre (IGC), London School of Economics (LSE), Oxford Policy Management (OPM), International Development Specialist (IDS), Chemonics, Abdul Latif Jameel Poverty Action Lab J-PAL/MIT)

Other: Flight Attendant Medical Research Institute (FAMRI); certain other foundations/foreign governments, as approved by HG
UK SPECIFIC SPONSORS: FCDO

FCDO Key Considerations

• FCDO uses several external standards to govern work and has different allowable costs and partner vetting requirements. Programs are responsible for complying with these standards.

• The FCDO and its international aid program is under review and changes to requirements are quite possible.

• Harvard typically works with the FCDO, but any UK government funding should be thoroughly scrutinized.

• For more information, review the FCDO Supplier Code of Conduct.
EU SPECIFIC SPONSORS: HORIZON EUROPE

European Commission Key Considerations

• All time spent on an EC project must be recorded for EVERYONE on a monthly basis by an appropriate time-sheet system in order to justify the DIRECT relation between salaries/fringe and the project.

• All beneficiaries or subcontractors who spend over €325,000 in direct costs must perform a project audit and submit a Certified Financial Statement (CFS).

• Equipment charges default to depreciation; if audited, supporting documentation required (logbooks, method for charging costs to grant).

• Receipts are required for any expenditure, regardless of amount.

• Grant Terms are long and the audit is at the end. Record retention is essential to survive the audit.
Supporting international research

HG PROPOSAL SUBMISSION – PROCESS SUMMARY

• HG GCS, in collaboration with the PI and Dept, assists with proposal development, provides administrative and financial guidance, and if the proposal is submitted through the EC’s Funding & Tenders Portal, ensures the correct information/budget is in the portal.

• The FAS department administrator submits the initial proposal request in GMAS under the School’s tub/org.

• The proposal is locked and routed for school-level approvals (via RAS: PI effort, low IDC, OAIR, human subjects, international activities, provost criteria, etc.) and HG approval.

• If you suspect that a proposal will need to be routed through HG, please contact Peggy Darnowsky and/or Krister Anderson as soon as possible.

• Due to the complicated nature of HG proposals, Harvard Global requests that final documents are locked and routed **7-10 days before the sponsor deadline**.
SPECIFIC FUNDING OPPORTUNITY – HORIZON EUROPE

Period: 2021-2027
Budget: €95.5 billion
Start date: 1 January 2021

Main Features:

• Strengthen EU science and technology
• Foster the EU’s industrial competitiveness and innovation
• Deliver on EU’s strategic priorities, such as the Paris Agreement on climate change
The European Research Council (ERC) is a funding organisation for frontier research. It aims to stimulate scientific excellence in Europe by funding the very best, creative researchers of any nationality and age, and supporting their innovative ideas. Researchers from anywhere in the world can apply for ERC grants provided the research they undertake will be carried out in an EU Member State or Associated Country."

https://erc.europa.eu/funding/non-european-researchers

Budget under Horizon Europe: €16 billion
RESOURCES

HU/HG:

OSP's Website - Harvard Global
Harvard Global - International Grant Administration
Harvard Global Support Services

Sponsor Specific:

European Commission: Updated link to Model Grant Agreement (both H2020 and ERC)
Financial Guidelines for EC awards
European Research Council
FCDO
KEY HARVARD GLOBAL CONTACTS

• Krister Anderson
  o Senior International Program Consultant (Krister.Anderson@harvard.edu)

• Kate Apollo
  o Assistant Director, Finance (Catherine.Apollo@harvard.edu)

• Peggy Darnowsky
  o HG Grants and Contracts Specialist (Peggy_Darnowsky@harvard.edu)

• Carolina Harvey
  o HG Grants and Contracts Officer (Carolina_Harvey@havard.edu)

• HG Sponsored Inbox
  o sponsored@harvardglobal.org
Questions?

A more detailed overview will be scheduled later in March. Please contact Krister to be invited

krister_anderson@harvard.edu

https://harvard.az1.qualtrics.com/jfe/form/SV_73za9AJ4pmP4o8C